

# ETHICS: Leadership in the Counseling Relationship

Judith Guetzow, PhD-C, CRC guetzowjk@utpa.edu UNTWISE January 29, 2014

## Introductions







"I'd like you to do a presentation on business ethics. If you don't have time to prepare something, just steal it off the Internet."

### Leadership in Counseling

- "Despite the fact that many counselors attain various leadership positions, little attention has been paid to training for leadership...
- Many of the various approaches to understanding the concept have their historical bases in psychology..."



# In Re: Rehabilitation Counseling & Advocacy

Upon completion of this webinar, participants will be able to...

- Identify the primary responsibility of rehabilitation counselors and service providers.
- Understand the utilization of rehabilitation and counseling plans.
- Identify and support the clients' employment needs.
- Advocate for autonomy and clients' responsibility.

### **Ethical Leadership**



- Understand the ethical leadership perspective
- Review ethical theories
- Understand principles of ethical leadership
- Review diverse ethical perspectives – Transactional, Transformational, Servant Leadership
- Demonstrate how the ethical leadership perspective works

http://www.youtube.com/watch?v=wkaozXsKrcs



# FAMILY













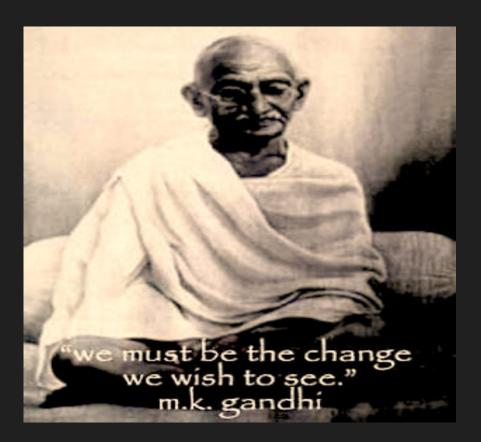
# The Need for Leadership in Organizations



- Organizations have a structure.
- Expectations of a leader.
- True leadership behavior and realization of the vision.

### The Need for Ethics in Leadership

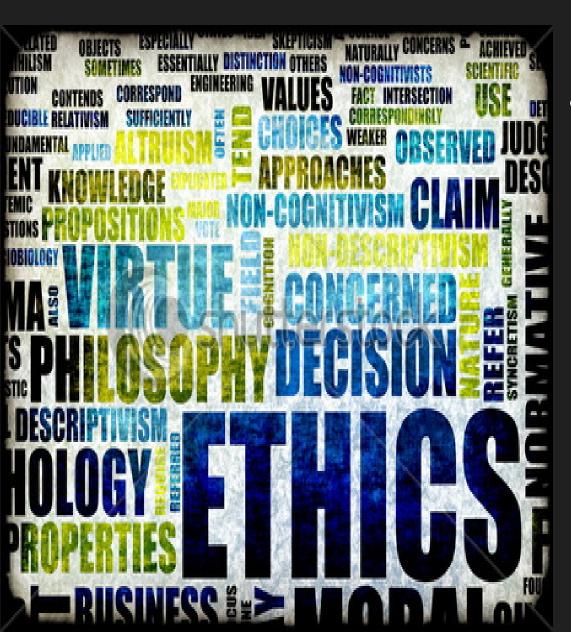
- Morality a business diversion.
  - Stockholders
  - Profit
- Policies and actions impact society.





- A leader is the soul of the organization.
- Society's moral caliber largely determined by leaders.

#### **Ethics Defined**

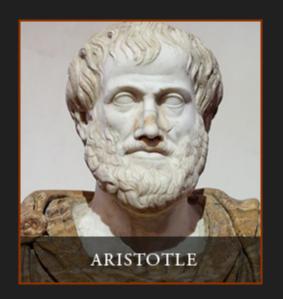


#### Ethical Theory

- Provides a system of rules or principles as a guide in making decisions about what is right/wrong and good/bad in a specific situation
- Provides a basis for understanding what it means to be a morally decent human being

## Theories of Ethics: Classical Philosophy of Ethics







Morally good act has three parts or factors:

- (1) the objective act itself
- (2) the subjective motive of the actor
- (3) the situation or circumstances in which the act is done.

Another ethical system today is 'situation ethics' – focuses only on the situation, or the situation and the motive – as is the case when one argues that the end justifies the means.

# Two Broad Domains of Ethical Theories

Theories regarding a leader's conduct and character.

#### **CONDUCT**

Consequences (Telelogical Theories)

- Ethical Egoism
- Utilitarianism

**Duty (Deontological Theories)** 

#### CHARACTER

Virtue-Based Theories

#### **Ethical Theories – Conduct - Teleological**

- Teleological Theories: focus on consequences of leaders' actions; results
- Three different approaches to making decisions regarding moral conduct -

Ethical egoism

Utilitarianism

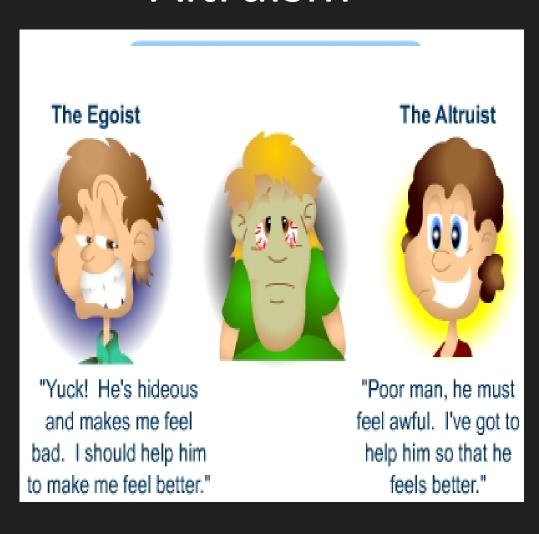
Altruism





#### Altruism

- Criteria of 'benefiting others' (altruistic) versus 'benefiting self' (egotistical)
- Sacrificing one's welfare for the sake of another
- No expectation of external reward
- Behavior that renders help to another person regardless of the intention of the help-provider.



Acts also satisfy some needs of the individual – hedonistic paradox

All behavior, whether directed towards benefiting oneself or another, is energized by some needs or inner drives without which human behavior would not occur.

# Ethical Theories – Conduct – Deontological



#### Deontological Theories: duty driven

 Focuses on the actions of the leader and his/her moral obligation and responsibilities to do the right thing

#### **Moral Standards**





 Distinguishing characteristic
 concerns behavior that can be of serious consequence.

Morals go beyond etiquette, protocol, etc.

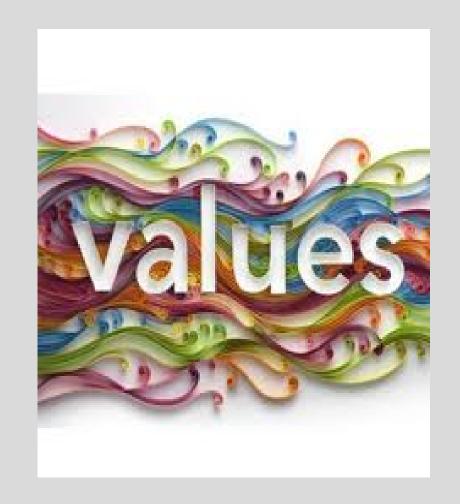


#### Think about what values drive you.

What values make you who you are?

How would someone know what you value?

What do the people you consider to be leaders value?



**Fidelity** 

Beneficence

Nonmaleficence

**Autonomy** 

Veracity

COUNSELING



THE COUNSELOR

15 IN UNDATED

Justice

### How do you define character?



- Where do values and character come from?
- How do they develop, become internalized, and guide our actions?



### Ethical Leadership and Leaders' Personalities

#### **Personality Defined**

"Personality can be defined as consistency in a person's way of being — that is, long-term consistency in their particular ways of perceiving, thinking, acting and reacting as a person. Organised patterns of thought and feeling and behaviour."

Discovering Who and What we are http://personalityspirituality.net/articles/what -is-personality/

#### Valid and Reliable

# The Big 5

Conscientiousness – (efficient/organized vs. easy-going/careless). A tendency to show self-discipline, act dutifully, and aim for achievement; planned rather than spontaneous behavior.

Agreeableness – (friendly/compassionate vs. cold/unkind). A tendency to be compassionate and cooperative rather than suspicious and antagonistic towards others.

**Neuroticism** – (sensitive/nervous vs. secure/confident). A tendency to experience unpleasant emotions easily, such as anger, anxiety, depression, or vulnerability.

Openness to experience – (inventive/curious vs. consistent/cautious). Appreciation for art, emotion, adventure, unusual ideas, curiosity, and variety of experience.

Extraversion – (outgoing/energetic vs. solitary/reserved). Energy, positive emotions, surgency, and the tendency to seek stimulation in the company of others.

Source: http://en.wikipedia.org/wiki/Big\_Five\_personality\_traits

#### **Ethics and Leadership**

#### Leadership Ethics Description:

- What leaders do and who leaders are
- Nature of the leaders' behavior and their virtuousness
- Ethical issues are either implicitly or explicitly involved
- Choices leaders make and how they respond in a particular circumstance are informed and directed by their ethics

#### **Centrality of Ethics to Leadership**

Influence dimension – social influence

Power and control differences

Respect for persons

Establish an ethical climate

# Let's talk leadership...

@ 1998 Randy Glasbergen. E-mail: randy@glasbergen.com www.glasbergen.com



"When the boss isn't around to watch us, he loads up this screen saver."

### **Transactional Leadership**

- Managerial
- Contractual management
- Focuses on the exchanges that occur between leaders and their followers



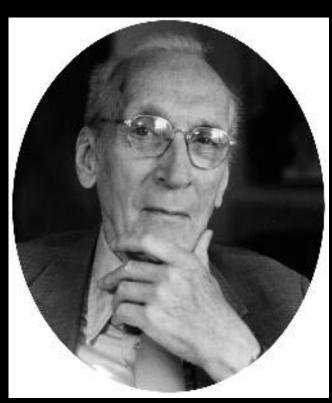
#### Theory of Transformational Leadership

- Strong emphasis on followers' needs, values & morals
- Leaders help followers in their personal struggles concerning conflicting values
- Stress values such as:
- Connection between leader & follower
- Leader's Role
  - Assist followers in assessing their values & needs
  - Help followers to rise to a higher level of functioning



The transformational leader has the key to unlock "what is" in order to discover "what can be"!

## Servant Leadership



Robert K. Greenleaf 1904-1990

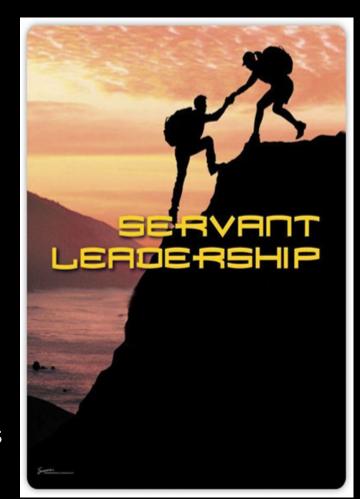
#### Character

#### Values:

- Involvement
- Respect
- Trust
- Individual strength

#### **Follower Needs**

- Become more knowledgeable
- More autonomous
  - Become more like servants



## **Servant Leadership Perspective**

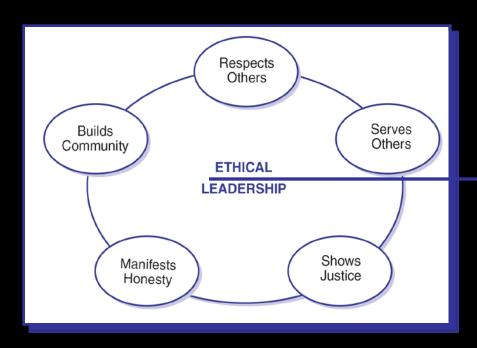
- Has strong altruistic ethical overtones
- Leaders
  - focus on & attentive to needs of followers
  - empathize with followers
  - take care of and nurture followers





- Leader's social responsibility to be concerned with "have-nots" in the organization and:
  - Remove inequalities & social injustices
  - Uses less institutional power
  - Uses less control
  - Shifts authority to followers

#### Principles of Ethical Leadership



# Ethics - is central to leadership because of:

- The process of influence
- The need to engage followers to accomplish mutual goals
- The impact leaders have on establishing the organization's values



Treating others as ends (their own goals) rather than as means (to leaders' personal goals)



Follower-centered - Based on the altruistic principle of placing followers foremost in the leader's plans

## **Shows Justice**



Ethical leaders are concerned with issues of fairness and justice; they place issues of fairness at the center of their decision making

# Manifests...



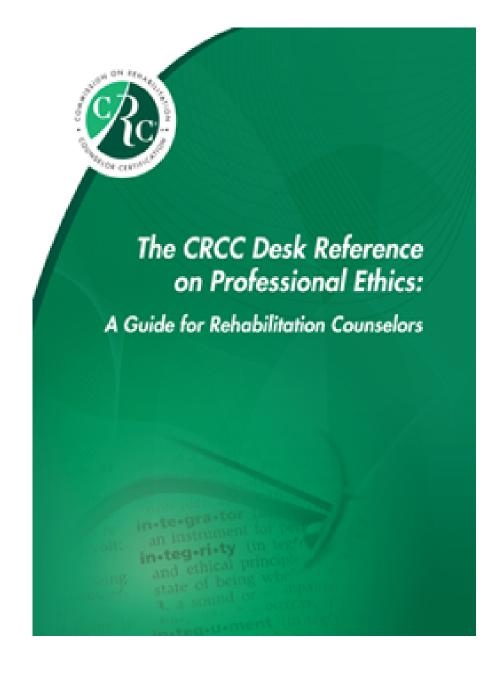
Honest leaders are <u>authentic</u> but also sensitive to the feelings and attitudes of others

# **Builds Community**



Concern for common good means leaders cannot impose their will on others; they search for goals that are compatible with everyone.

What is the primary responsibility of rehabilitation counselors and service providers?



#### a. PRIMARY RESPONSIBILITY.

The primary responsibility of rehabilitation counselors is to respect the

dignity and to promote the welfare of clients.





#### **b. REHABILITATION AND COUNSELING PLANS**



What happens to the provider if the job a person decides they want is different from the goal that is written on the plan?



#### c. EMPLOYMENT NEEDS.

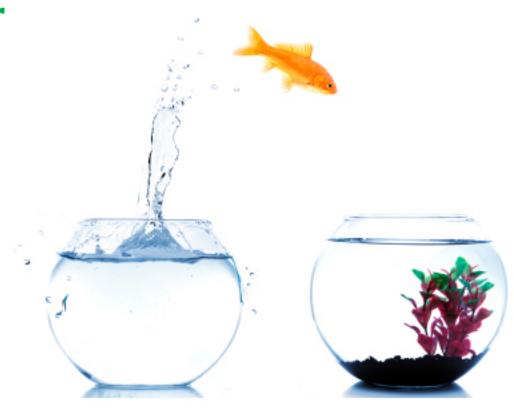


Identify and support the clients' employment needs.



#### d. AUTONOMY.

When does the Rehabilitation
Counselor decide if a person is able to progress without additional supports from the agency?





## Model

Think about the situation objectively

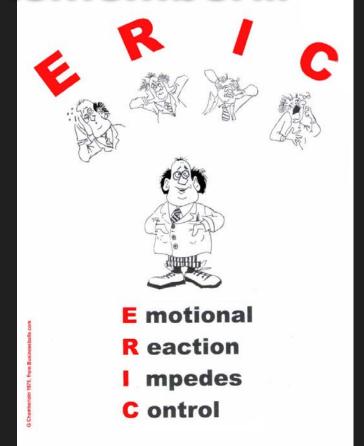
Recognize and analyze motivations.

Understand applicable laws, rules, and policies

Satisfy the headline test

Take responsibility for your actions

Remember...



#### **Application of Ethical Leadership**

- Applies to all organizational levels; all aspects of one's life.
- Moral dimension leadership demands awareness on our part of the way our ethics defines our leadership
- Professional development in respect to better ethical leadership
- Ethical principles as benchmarks
- Leaders can learn that leader-follower relationship is central to ethical leadership

#### Moral Environment

© 2000 Randy Glasbergen. www.głasbergen.com



"We do so hire people with disabilities!

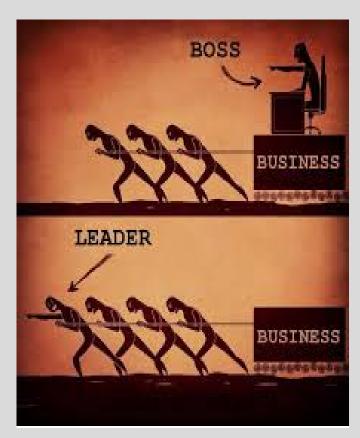
Dan can't make a decision, Tina is a chronic whiner,

Jake is an obsessive-compulsive coffee drinker,

Katherine has no sense of humor...."

#### Let's Review

- Why do organizations need leaders?
- What can ethical leadership do for our organizations and for society at large?
- Primary responsibility of rehabilitation counselors and service providers?
  - respect the dignity and to promote the welfare of clients
- Review ethical theories
  - Teleological; altruism; deontological
- Understand principles of ethical leadership
  - Respect others; serve others, show justice; manifest honesty; build communities
- Leadership perspectives
  - Transactional, Transformational, Servant Leadership
- What are the reasons for leadership effectiveness when the leaders adopt the transformational mode of exercising leadership influence?
- In what way do the values and assumptions of transactional leadership differ from the values and assumptions of transformational leadership?



Questions, comments, concerns?





#### Resources

- Commission on Rehabilitation Counselor Certification. (2009). Code of professional ethics for
- rehabilitation counselors. Schaumburg, IL: Author.
- Daft, R. L. (2008). The leadership experience (4<sup>th</sup> ed.). Mason, OH: South-Western Cengage Learning
- Discovering Who and What we are. http://personalityspirituality.net/articles/what-is-personality/
- http://frank.mtsu.edu/~u101irm/ethicslp.html
- Leadership in Action: http://www.agecon.purdue.edu/LIA/modules/LIA\_Mod2.pdf
- Mendonca, M. & Kanungo, R. N. (2007). *Ethical leadership*. Maidenhead, NY: McGraw-Hill Open University Press.
- Miller, D. J. & Millington, M. J. (2008). "What is required of us?" Rethinking ethical conduct in the practice and profession of vocational rehabilitation. In J. D. Andrew & C. W. Faubion (Eds.), Rehabilitation services: An introduction for the human services professional (pp. 262-280). Linn Creek, MO: Aspen Professional Services.
- Northouse, P. G. (2007). Leadership theory and practice (4<sup>th</sup> ed.). Thousand Oaks, CA: Sage Publications, Inc.
- Paradise, L. V., Ceballos, P. T., & Hall, S. (2010). Leadership and leader behavior in counseling: Neglected skills. *International Journal for the Advancement of Counselling*, 32, 46-55. doi 10.1007/s10447-009-9088-y